JCO3 Rec'd PCT/PTO 11 MAY

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER SHG-039P2-319 / OSP-17576

INTERNATIONAL APPLICATION NO. PCT/JP2003/014478

18. 19.

20.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED November 14, 2002

November 13, 2003 TITLE OF INVENTION ANISOTROPIC LIGHT DIFFUSION ADHESIVE LAYER, ANISOTROPIC LIGHT DIFFUSION ADHESIVE LAMINATED ASSEMBLY, AND ILLUMINATION DEVICE INCORPORATING ANISOTROPIC LIGHT DIFFUSION ADHESIVE LAMINATED ASSEMBLY APPLICANT(S) FOR DO/EO/US Toshihiro NAKAJIMA and Kensaku HIGASHI Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3. (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. 🔀 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Executed) An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. (18 References) 11. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. (Executed) 12. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16 A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 17

Other items or information: (See Attached) This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S.
Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

JC14 Rec'd PCT/PTO 11 MAY 2005

PTO-1390 (Rev. 02-2005)
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. Comparison of the com					ATTORNEY'S DOCKET NUMBER SHG-039P2-319 / OSP-17576		
The following fees have been submitted						CALCULATIONS PTO USE ONLY	
21. X Basic national fee\$300						\$ 300	TTO GGE GNET
22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)						\$ 200	
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority						\$ 400	
TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding						\$ 900	
sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets Ex	dra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		F	ATE		
- 100 =	/50 =			x \$2	50	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).						\$	
CLAIMS	NUMB	ER FILED	NUMBER EXTRA		RATE	\$	
Total claims	3	7 - 20 =	17	×	\$ 50	\$ 850.00	
Independent claims	2	- 3 =	0	х	\$200	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360						\$	
TOTAL OF ABOVE CALCULATIONS =						\$ 0	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.							
SUBTOTAL =						\$ 1.750.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						\$	
, TOTAL NATIONAL FEE =						\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$ 40.00	
TOTAL FEES ENCLOSED =						\$ 1,790.00	
						Amount to be refunded:	\$
						Amount to be charged:	\$
a. A check in the amount of \$ 1,790.00 to cover the above fees is enclosed.							
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-3000</u> . A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Thomas W. Flynn, Esq.							
Wood Herron	& Evans, L	/_					
2700 Carew Tower Thomas W 441 Vine Street NAME NAME						Flynn, Esq.	
Cincinnati, Ohio 45202-2917 21,097							
REGISTRATIO							

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER SHG-039P2-319/ OSP- 17576

APPLICATION NO. (IZNAWIT See 37 CFR 1.5)

INTERNATIONAL APPLICATION NO. PCT/JP2003/014478

November 13, 2003

PRIORITY DATE CLAIMED November 14, 2002

TITLE OF INVENTION ANISOTROPIC LIGHT DIFFUSION ADHESIVE LAYER, ANISOTROPIC LIGHT DIFFUSION ADHESIVE LAMINATED ASSEMBLY, AND ILLUMINATION DEVICE INCORPORATING ANISOTROPIC LIGHT DIFFUSION ADHESIVE LAMINATED ASSEMBLY

APPLICANT(S) FOR DO/EO/US

Toshihiro NAKAJIMA and Kensaku HIGASHI

Eight Additional Items of Information:

- 1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (Form PTO-1390) (3 pages)
- 2. Certificate of Express Mailing No. EV371411991US
- 3. Copy of PCT Request dated 11/13/03 (Form PCT/RO/101)
- 4. Copy of Published PCT Application PCT/WO2004/044629 (Form PCT/IB/311)
- 5. Copy of Notification of Receipt of Record Copy (Form PCT/IB/301)
- 6. Copy of Notice of filed Priority document (Form PCT/IB/304)
- 7. Copy of Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (Form PCT/IB/308)
- 8. Return Postcard

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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In re application of:

Nakajima et al.

International Application No.:

PCT/JP2003/014478 November 13, 2003

International Filing Date: Priority Date Claimed:

November 14, 2002

Title:

ANISOTROPIC LIGHT DIFFUSION ADHESIVE LAYER,

ANISOTROPIC LIGHT DIFFUSION ADHESIVE LAMINATED ASSEMBLY, AND ILLUMINATION DEVICE INCORPORATING ANISOTROPIC LIGHT DIFFUSION ADHESIVE LAMINATED

ASSEMBLY

Attorney Docket:

SHG-039P2-319

Cincinnati, Ohio 45202

May 11, 2005

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

CERTIFICATE OF EXPRESS MAILING

Enclosures: Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (Form PTO-1390); Certificate of Express Mailing No. EV371411991US; Copy of PCT Request dated 11/13/03 (Form PCT/RO/101); Copy of Published PCT Application PCT/JP2004/044629 (Form PCT/IB/311); Copy of Notification of Receipt of Record Copy (Form PCT/IB/301); Copy of Notice of filed Priority document (Form PCT/IB/304); Copy of Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (Form PCT/IB/308); Information Disclosure Statement (Form PTO-1449) with 18 references attached; Executed Declaration, Executed Power of Attorney & Petition; Recordation Form Cover Sheet and Executed Assignment; check in the amount of \$1,790.00 for the Filing Fee; and Return Postcard

"Express Mail" Mailing Label No. EV371411991US

Date of Deposit: May 11, 2005

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO MAIL STOP PCT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

Kenneth Eads

Name of Person Mailing Paper or Fee

Signature of Person Mailing Paper or Fee